





ALTERNATIVE TO PTO/SB/08a/b (07-05)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete If Known</b>		
			Application Number	09/916088-Conf. #7958	
			Filing Date	July 26, 2001	
			First Named Inventor	Oscar A. Chappel	
			Art Unit	3623	
Examiner Name	S. L. Jarrett				
Sheet	1	of	8	Attorney Docket Number	92717-00314USPT

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
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			Examiner Name	S. L. Jarrett	
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		Country Code*-Number*-Kind Code* (if known)				
B1 B2 B3	B1	JP-2001-022729		01-26-2001	Hitachi Ltd.	✓
	B2	JP-2000-250888		09-14-2000	Hitachi Ltd.	✓
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\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
81	C1	Digital Business Office Architecture; 2001 The Technical Resource Connection, Inc.; 1 Page.	
0	C2	Tips for Clean Claims for Institutional Providers; 3 Pages.	
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1	C11	Choosing a Problem; 1 Page.	
	C12	Knowledge Engineering; 1 Page.	
	C13	Exam-like Questions; 1 Page.	
	C14	Rules and Expert Systems; 1 Page.	
	C15	A Simple Example; 2 Pages.	
	C16	Explanation Facilities; 2 Pages.	
	C17	More Complex Systems; 1 Page.	
	C18	An Expert System Shell in Prolog; 4 Pages.	
	C19	MYCIN: A Quick Case Study; 3 Pages.	
	C20	Knowledge-Based System; 1 Page.	
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/916088-Conf. #7958		
		Filing Date	July 26, 2001		
		First Named Inventor	Oscar A. Chappel		
		Art Unit	3623		
		Examiner Name	S. L. Jarrett		
Sheet	7	of	9	Attorney Docket Number	92717-00314USPT

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✓	C97	Rational RequisitePro - User's Guide Version 4.5, Rational, 1999

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

*[A large diagonal line is drawn across the main body of the form, likely indicating that no references are being cited.]*

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